

The expected difference between $N(f)$ and $MF(f)$

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We all agree on the “wrong”. This talk is about the “very badly”.

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Kelly computes $N(f) = 0$ and $MF(f) = 2k$.

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If I choose f “at random” on a surface with boundary, should I expect it to be Wecken?

When f is chosen at random, what is our statistical expectation for the quantity $MF(f) - N(f)$?

Randomness in free groups

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We can measure the size of a subset $S \subset G$ using the asymptotic density.

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These densities represent that probability that a random “very long” element of G is in S .

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So combinatorially, the set of endomorphisms $G \rightarrow G$ is G^n .

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Generally, if $f : G^n \rightarrow \mathbb{R}$ is any real valued function of homomorphisms, define the expectation of f as:

$$\mathcal{E}(f) = \lim_{p \rightarrow \infty} \frac{1}{\#G_p^n} \sum_{\varphi \in G_p^n} f(\varphi).$$

And similarly $\underline{\mathcal{E}}(f)$ and $\overline{\mathcal{E}}(f)$.

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The “best” result would be that $\mathcal{E}(MF - N) = 0$ and $\mathcal{E}(N/MF) = 1$.

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So we expect $\log \frac{N(f)}{MF(f)} = 0$.

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If that's too hard, we will try to show $\mathcal{E}(N/MF) = 1$.

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Let W_n be the set of φ with $MF(\varphi) = N(\varphi)$, so we want to compute $D(W_n)$.

It turns out that $D(W_n)$ depends on n (# of generators).

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The remnant is the middle subword in each position that doesn't cancel in any product.

Theorem (Wagner 1999)

If φ has nontrivial remnant in each generator, then it is the induced homomorphism for a map $f : X_n \rightarrow X_n$ with these properties:

- ▶ *f has a fixed point for each occurrence of $a_i^{\pm 1}$ inside $\varphi(a_i)$*
- ▶ *The fixed point classes are determined by equalities among the “Wagner tails”*

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Also $MF(f) = 5$, since we have 5 fpcs of 1 point each.

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Lemma

If there are no equalities between the Wagner tails, then φ is Wecken.

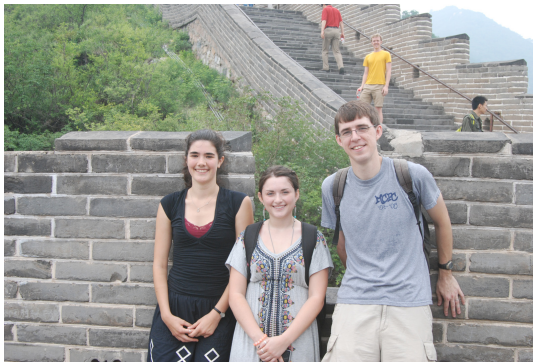
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Lemma

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This is something we should be able to count combinatorially.

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Theorem (Brimley, Griisser, Miller, S. 2012)

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This means that when n is very large, almost every map is Wecken.

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In the case $n = 2$, the answer is no.

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We show that $\underline{D}(T_3) > .00003$ which gives the bound above.

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For $n = 2$ the best bounds we have are:

$$.5 < \underline{D}(W_2) \leq \overline{D}(W_2) < .99997$$

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Let's discuss $\mathcal{E}(MF - N)$ and $\mathcal{E}(N/MF)$.

These probably will depend on n , so let's write $\mathcal{E}_n(MF - N)$ and $\mathcal{E}_n(N/MF)$.

The "best" result would be $\mathcal{E}_n(MF - N) = 0$ and $\mathcal{E}_n(N/MF) = 1$ for all n .

But the fact that $D(W_2) < 1$ easily shows that $\mathcal{E}_2(MF - N) > 0$.

Theorem

$$\underline{\mathcal{E}}_2(MF - N) > 0$$

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Proof.

$$\underline{\mathcal{E}}_2(MF - N) = \liminf_{p \rightarrow \infty} \frac{1}{\#G_p^2} \sum_{\varphi \in G_p^2} MF(\varphi) - N(\varphi)$$



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So we will instead look at $\mathcal{E}_n(N/MF)$. (We want this to be 1.)

Approach

Remember:

Lemma

If there are no equalities between the Wagner tails, then φ is Wecken.

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To measure $\mathcal{E}_n(N/MF)$, we need to consider when there are some equalities between the Wagner tails.

We need some condition which lets us predict when a Wagner tail is repeated

A necessary condition for repeated Wagner tails:

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Lemma

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$$\varphi(a) = waS, \quad \varphi(b) = wbT.$$

A necessary condition for repeated Wagner tails:

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A repeated Wagner tail must be completely outside of the remnant subword.

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If w is a repeated Wagner tail, this means that we have something like

$$\varphi(a) = waS, \quad \varphi(b) = wbT.$$

and since w will cancel in the product $\varphi(a^{-1})\varphi(b)$, it is outside of the remnant. □

So the Wagner tails outside the remnant may combine (cancel) with others.

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$$\frac{N(f)}{MF(f)} \geq \frac{\# \text{WTs in remnant}}{\# \text{WTs}}$$

The right side above is the proportion of the WTs which appear inside the remnant.

$$\frac{N(f)}{MF(f)} \geq \frac{\#WTs \text{ in remnant}}{\#WTs}$$

We can use this to estimate $\frac{N(f)}{MF(f)}$ without looking very specifically at our map.

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Here we have 4 WT's inside the remnant, and 5 total WT's, so

$$\frac{N(f)}{MF(f)} \geq \frac{4}{5}$$

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To get

$$\frac{\#\text{WTs in remnant}}{\#\text{WTs}} \approx 1,$$

we want the remnant subwords to be as large as possible.

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For any $r < 1$, let R_r be the set of φ with

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Theorem

(S. 2010) $D(R_r) = 1$ for any r .

So we can expect that that for any r , the remnant occupies proportion r of the image words.

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We should also expect proportion r of the WTs to be inside the remnant, provided that the WTs are “uniformly distributed” in the image words.

We need to make sure that the WTs do not cluster together, and have no bias in their locations.

We get a WT for each appearance of $a_i^{\pm 1}$ inside $\varphi(a_i)$.

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If $\varphi(a_i)$ is a long random word, we do indeed expect the letters $a_i^{\pm 1}$ to be “uniformly distributed” inside this word.

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If $\varphi(a_i)$ is a long random word, we do indeed expect the letters $a_i^{\pm 1}$ to be “uniformly distributed” inside this word.

In particular, if w is a random word of length p , we expect roughly $\frac{p}{n}$ occurrences of $a_i^{\pm 1}$, distributed evenly throughout the word.

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Choosing w at random, we expect $\#_{a_i}(w) = \frac{1}{n}|w|$.

Here is the result:

Lemma

Let S_ε be the set of words w with

$$\#_{a_i}(w) > \frac{1 - \varepsilon}{n}|w|.$$

for each i . Then $D(S_\varepsilon) = 1$ for any $\varepsilon > 0$.

This is an interesting result in its own right, but as far as I know is new.

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When $|w| = p$, the word has k occurrences of $a_i^{\pm 1}$ with probability:

$$\binom{p}{k} \left(\frac{1}{n}\right)^k \left(\frac{n-1}{n}\right)^{p-k}$$

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The probability that w has less than or equal to k occurrences is

$$\sum_{j=0}^k \binom{p}{j} \left(\frac{1}{n}\right)^j \left(\frac{n-1}{n}\right)^{p-j}$$

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Theorem from probability: when $k < \frac{p}{n}$, we have an exponential bound

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$$\#_{a_i}(w) > \frac{1 - \varepsilon}{n} |w|$$

is probability 1 as $|w| \rightarrow \infty$.

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For them to be “uniformly distributed”, we actually need more:

Lemma

Let S_ε be the set of words w with

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for each i , for any subword v with $|v| > \frac{1}{2}|w|$. Then $D(S_\varepsilon) = 1$ for any $\varepsilon > 0$.

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Let S_ε be the set of words w with

$$\frac{1 - \varepsilon}{n} |v| < \#_{a_i}(v) < \frac{1 + \varepsilon}{n} |v|.$$

for each i , for any subword v with $|v| > \frac{1}{2}|w|$. Then $D(S_\varepsilon) = 1$ for any $\varepsilon > 0$.

Put it all together

Our result:

Theorem

For each $n > 1$, we have $\mathcal{E}_n(N/MF) = 1$

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&= \frac{1+\varepsilon}{1-\varepsilon} r \liminf_{p \rightarrow \infty} \frac{1}{\#(R_r \cap S_\varepsilon)} \sum_{\varphi \in R_r \cap S_\varepsilon} 1 \\
&= \frac{1+\varepsilon}{1-\varepsilon} r
\end{aligned}$$

So we have

$$\underline{\mathcal{E}}(N/MF) \geq \frac{1 + \varepsilon}{1 - \varepsilon} r$$

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But the absolute difference between these may still be fairly large.

So $MF(f) - N(f)$ can still be quite large, even when the ratio is small.

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This will require fancier counting arguments to prove.

That's all!